# Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604, 141-07 20th Avenue • Whitestone, NY 11357 • USA Tel. (718) 357-8836 • Fax: (718) 357-8615 e-mail: kitchanlaw@aol.com

# FACSIMILE TRANSMISSION: PLEASE DELIVER THE FOLLOWING PAGES

<u>I</u>0:7033053014

TO:

L. Blaine Lankford
Primary Examiner, Art Unit 1651

COMPANY:

USPTO

(703) 308-4242

FROM:

Law Offices of Albert Wai-Kit Chan, LLC

JIN, et al., STABLE POLYMER AQUEOUS/AQUEOUS
EMULSION SYSTEM AND USES THEREOF, U.S. Serial
No. 09/886,555, filed June 21, 2001
Dkt. #625

TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 5

DATE:

October 18, 2002

\* IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON AS POSSIBLE TO (718) 357-8836.

#### Message |

Attached please find the Supplemental Information Disclosure Statement we have filed today for the above-identified application. The Supplemental IDS and accompanying PTO Form 1449 and Exhibits 1-7 have been sent via regular mail. Thank you.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY CONTAIN INFORMATION THAT IS CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Dkt. #625

TO: 7033053014

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

JIN, et al.

U.S. Serial No.:

09/886,555

Filed

June 21, 2001

For :

STABLE POLYMER AQUEOUS/AQUEOUS EMULSION

SYSTEM AND USES THEREOF

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20<sup>th</sup> Avenue

Whitestone, New York 11357

October 18, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

:

:

GOT 1 = 2002

134 .... 14 .... 14 .... 1

Sir/Madam:

#### FACSIMILE CERTIFICATE IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jeeyoung Kim Printed Name:

Respectfully submitted,

albers was keece

Albert Wai-Kit Chan Registration No. 36,479 Attorney for Applicants Law Offices of Albert Wai-Kit Chan, LLC World Plaza, Suite 604 141-07 20<sup>th</sup> Avenue Whitestone, New York 11357

Tel: (718) 357-8836 Fax: (718) 357-8615

e-mail: kitchanlaw@aol.com





Dkt. #625

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

JIN, et al.

U.S. Serial No.:

09/886,555

Filed

June 21, 2001

For

STABLE POLYMER AQUEOUS/AQUEOUS EMULSION

SYSTEM AND USES THEREOF

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20<sup>th</sup> Avenue

Whitestone, New York 11357

October 18, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

Sir/Madam:

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following references which are listed below and on Form PTO-1449 (which is attached hereto as Exhibit A), and each individual reference is further attached as Exhibit 1 through 7. The original Information Disclosure Statement was submitted on August 3, 2001.

- 1. U.S. Patent No. 5,789,213, August 4, 1998, Hui, et al., "Method and Compositions for High Efficiency Loading, Transfection and Fusion of Cells by Electric Pulses" [Exhibit 1]
- 2. U.S. Patent No. 5,354,561 October 11, 1994, Rosenberg, et al., "Process for Producing solid Active Substance Forms and Products Thereof" [Exhibit 2]

Applicants : JIN, et al. U.S. Serial No.: 09/886,555 Filed : June 21, 2001 Page : 2

- 3. PCT International Publication No. WO 96/40071,
  December 19, 1996, Neocrin Company, et al., "A Method
  for the Manufacture of Minimal Volume Capsules
  Containing Biological Material" [Exhibit 3]
- 4. European Patent Publication No. 0 213 303 A2, March 11, 1987, Magnus, et al., "Method for Producing Small, Spherical Polymer Particles" [Exhibit 4]
- 5. European Patent Publication No. 0 395 765 Al, November 7, 1990, Yasui, et al., "Oozing-Proof Liposome Preparation" [Exhibit 5]
- 6. Certification for Translation of Report, English translation of Abstract, and PCT International Search Report, September 13, 2001 for Jin, et al., PCT/CN01/01033, Filed June 22, 2001, "Stable Polymer Aqueous/Aqueous Emulsion System and Uses Thereof" [Exhibit 6]
- 7. Certification for Translation of Report, English translation of Abstract, and Spanish Patent, August 16, 1997 for Martinez, et el., 2 103 195, Filed June 22, 1995, "The Process for the Production of Vesicles in the Plasma Membrane of Mushrooms and Yeast Involved in the Control Environment" [Exhibit 7]

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

Applicants U.S. Serial No.: Filed

JIN, et al. 09/886,555 June 21, 2001

Page

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage for first class mail in an envelope addressed to: Assiduant Commissioner for Patents, Waghington, D.C. 20231

Albert Wai-Kit Chan Date
Reg. No. 36,479 10/18/02 Respectfully submitted,

allere was Kit Cla\_ Albert Wai-Kit Chan

TO: 7033053014

Registration No. 36,479 Attorney for Applicants Law Offices of

Albert Wai-Kit Chan, LLC World Plaza, Suite 604

141-07 20th Avenue

Whitestone, New York 11357 Tel: (718) 357-8836

Fax: (718) 357-8615

e-mail: kitchanlaw@aol.com